

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Timothy M. Keiser *et al.*  
Application No. : **09/465,607**  
Confirmation No. : 9080  
Filed : December 17, 1999  
For : COMPUTER-IMPLEMENTED SECURITIES TRADING  
SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION  
  
[New Title after entry of this Amendment:  
**COMPUTER-IMPLEMENTED SECURITIES  
TRADING SYSTEM**]  
  
Group Art Unit : 3691  
Examiner : Clement Graham  
Attorney Docket No. : 98-HSX001-C1  
Customer No. : 63710

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL AMENDMENT FOLLOWING REPLY TO OFFICE ACTION**  
**DATED JULY 6, 2009**

This is a supplemental amendment presented in connection with the above-identified application. Reconsideration of the application is respectfully requested.

As used herein, "we," "us," and/or "our" refer to the present Applicant.

**Amendments to the Specification** begin on page **2** of this paper; **Amendments to the Claims** are reflected in the listing of claims beginning on page **3** of this paper; and **Remarks** begin on page **12** of this paper.